

SECTOR 18

CERTIFICATE OF MAILING

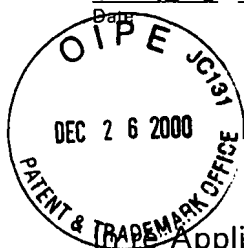
I hereby certify that this paper or, if this paper is a transmittal letter, every other paper or fee referred to therein, is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents & Trademarks, Washington, DC 20231, on

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

December 20, 2000 (Date of Deposit)

12-20-00 J Karasyk  
Date Name

Docket No.: 5986/1G098-US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Vandana YAJNIK, et al.

Serial No.: 09/662,052 Art Unit: Not Yet Assigned

Filed: September 15, 2000 Examiner: Not Yet Assigned

For: NRIF3, A NOVEL CO-ACTIVATOR FOR NUCLEAR HORMONE RECEPTORS

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Check in the amount of \$ 500, (\$ 395 filing; \$ 40 recording; \$ 65 late)  
(See attached **Fee Computation Sheet**)
3. ☐ Formal drawings, sheets (Figs. )  
☐ Informal drawings, sheets (Figs. )
4. ☒ Assignment for recording to: New York University

5. [X] Verified statement claiming small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:  
Number:  
Date:

The priority documents ☐ are enclosed  
☐ will follow.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: December 18, 2000



Paul Fehlner  
Reg. No. 35,135  
Attorney for Applicant(s)

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New York, NY 10022  
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PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$710.00
Total Claims	20 - 20	- 0 = 0	x \$18.00	\$0.00
Independent Claims	4 - 3	- 0 = 1	x \$80.00	\$80.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$920.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$460.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$500.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/662,052	09/15/2000	Vandana Yajnik	5986/1G098-US1

Darby & Darby PC  
805 Third Avenue  
New York, NY 10022



## FORMALITIES LETTER



\*OC000000005493694\*

Date Mailed: 10/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$39.
  - \$39 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 449.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/28/2000 MAHRED1 00000038 09662052  
01 FC:201  
02 FC:205  
03 FC:202

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